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## **REMARKS**

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Claims 2, 5-8, 16, 18-21 and 23-24 are pending. No claims are amended.

### Rejections Under 35 U.S.C. §103-Obviousness

Claims 2, 5-8, 16, 18-21 and 23-24 remain rejected as obvious over U.S. 5,183,659 to Timoney et al. ("Timoney"), in view of EP 0786518 to Hartford et al. ("Hartford"), and U.S. 5,597,807 to Estrada et al. ("Estrada"). The Examiner's specific points are addressed individually below.

1. The Examiner maintains that it would have been obvious for one of ordinary skill in the art to modify the unencapsulated *S. equi* vaccine in Timoney, from the teachings that saponin produces mucosal immunity (Estrada), in combination with disclosure that numerous adjuvants (including saponin) may be used in conjunction with an encapsulated, deletion mutant *S. equi* vaccine suitable for nasal administration (Hartford).

Applicants respectfully traverse this rejection for the following reasons. First, the disclosure by Hartford that eleven disclosed adjuvants can be used in a vaccine for an encapsulated *S. equi*, with a <u>stated preference</u> for LT (*E. coli* heat labile toxin) and CT (cholera toxin) for mucosal vaccines, does not, when combined with Estrada's disclosure of the suitability of saponins as adjuvants for administration orally, by inhalation, intradermally, intraperitoneally and intravenously injection (i.e., not nasally), provide the suggestion or motivation to specifically combine a saponin with an unencapsulated *S. equi* for nasal <u>mucosal</u> administration. This is especially so where Timoney makes no mention of using an adjuvant with his disclosed encapsulated *S. equi* vaccine.

Again, as iterated in previous responses, Hartford actually teaches away from the Timoney vaccine by the statement on page 2: "...the [prior art vaccine] has several drawbacks...the vaccine is based on a non-encapsulated strain...As a consequence, a vaccine based thereon would thus not protect against on apparent virulence factor i.e. the capsule." By this statement, Hartford teaches

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away from the present invention, which also directed to a non-encapsulated vaccine. Where a reference teaches away from another reference, as does Hartford with respect to Timoney, it cannot be used in combination with that other reference to establish obviousness. See *In re Lundsford*, 148 U.S.P.Q. 721, 726 (CCPA 1966).

Further, Timoney discloses intranasal and oral administration of his *S. equi* vaccine free of any adjuvant. On the other hand, Estrada only teaches applying saponins to nasal mucosa to enhance adsorption of a drug or vaccine through mucous membranes, not to stimulate mucosal immunity. Moreover, the closest that Estrada comes to disclosing the use of saponin as an adjuvant in the nasal mucosa is by inhalation. Inhalation of an agent occurs via the mouth, into the lungs, which is clearly distinct from intranasal administration which occurs by direct application onto mucosal surfaces of the nasal cavity. Thus, there is no motivation in Estrada to combine saponin with an *S. equi* vaccine of Timoney, nor any motiviation in Timoney to use an adjuvant, much less a saponin, in the administration of *S. equi*.

In addition, while Estrada teaches that saponins are generally useful as adjuvants, and exemplifies saponin use only with CT and avidin as model antigens, there is no disclosure of the use of saponins as an adjuvant for an attenuated bacteria, much less with *S. equi*. To this end, as pertains to the instant application, where Estrada only mentions that mucosal administration of saponin enhances drug delivery, <u>and not mucosal immunity</u>, and where Timoney makes no mention of using an adjuvant to begin with, there can be no motivation to combine the two references with each other (or with Hartford, which in any event disparages Timoney) and arrive at the presently claimed invention.

Hartford does not remedy this deficiency to establish obviousness. Hartford *does* teach an *S. equi* vaccine, and discloses saponin (Quil A) as one adjuvant among numerous adjuvants. Although, Hartford teaches that the preferred <u>mucosal</u> adjuvants are CT and LT, not Quil A, Hartford does not exemplify use of any adjuvant in the experiments. Accordingly, there would have been no motivation to combine the teachings of Hartford with Estrada. Moreover, according to the

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examples in Estrada, CT is an *antigen*, but according to Hartford, CT is an *adjuvant*. It is quite implausible that one of ordinary skill in the art would presume that an adjuvant (CT) in Estrada would require a further adjuvant when it already is an adjuvant. This contrary teaching of the references further precludes the combination asserted by the Examiner.

So again, even if proper, the combination of Timoney and Estrada with Hartford would not lead an ordinarily skilled artisan to the present invention, i.e., use of saponin in a mucosal *S. equi* vaccine, much less with an expectation of the commercial success the presently claimed vaccine has demonstrated (discussed further below). At best, the combination *might* teach use of saponin in a *S. equi* vaccine for administration by a route other than nasal mucosal administration, since Harford clearly discloses a preference for other adjuvants (CT or LT) for mucosal administration, and Estrada does not disclose that saponins, for their use as *adjuvants*, can be administered mucosally. However, there is clearly no teaching in any of the references that would provide the motivation to specifically combine a saponin with an unencapsulated *S. equi* specifically for nasal mucosal administration, much less, as discussed below, a reasonable expectation of success in doing so.

Accordingly, withdrawal of this rejection is respectfully requested.

2. The Examiner contends that one of ordinary skill in the art would have expected that combining saponin with the attenuated *S. equi* of Timoney would be successful based on the protective properties of the *S. equi* vaccines disclosed in Timoney and Hartford, and the beneficial results of the saponin adjuvant disclosed in Estrada.

Applicants respectfully disagree with this contention. According to this reasoning, Estrada could arguably be asserted to render obvious *any* vaccine disclosed in *any* patent which merely mentions that saponin is an adjuvant among other adjuvants. This is clearly not the correct law of obviousness, which further requires a motivation to combine references, and a reasonable expectation that the combination would be successful. The mere fact that references could be

combined does not make a claimed invention obvious in the absence of the motivation to make the combination. *In re Fritch*, 23 U.S.P.Q.2d 1780, 1783-4 (Fed. Cir. 1992).

According to the PTO, there are 177 U.S. patents which claim vaccines and disclose saponin (among others) as one adjuvant (among others), and 35 U.S. patents which claim a vaccine with saponin specifically as an adjuvant, including a patent filed more than 30 years ago (U.S. 4,085,203). Clearly, the choice of adjuvant for any vaccine depends on factors other than the mere fact that the product is an adjuvant, including whether the adjuvant is effective with the particular vaccine product, e.g., an attenuated or killed microorganism or a soluble protein subunit; and whether the adjuvant is effective in the species to be vaccinated. Estrada sheds no light on either question with respect to the possible success of an attenuated *S. equi* vaccine for horses.

In the alternative, according to the Examiner's reasoning, the teachings of Hartford could be construed to render obvious vaccines comprising *any* eleven of the disclosed adjuvants, when combined with any other disclosure that taught the same or another vaccine. Again, this clearly would be an erroneous statement of the law of obviousness. A skilled artisan may have looked to Hartford or Estrada as a starting point to make a vaccine, but would have still been required to conduct undue experimentation to achieve a vaccine that was safe and effective for mucosal administration for preventing strangles in horses. As the Examiner knows, "obvious to try" is not the standard for *prima facie* obviousness under 35 U.S.C. §103. One must inquire whether the prior art would have suggested to one of ordinary skill in the art that the particular combination of elements with a reasonable expectation of success, viewed in light of the prior art. *See In re Dow Chemical Co.*, 837 F.2d 469 473, 5 USPQ2d 1529, 1531 (Fed. Cir. 1988). "Both the suggestion and the expectation of success must be founded in the prior art, not in applicant's disclosure." *Id.* The Examiner's attention is further directed to the Federal Circuit's decision in *In re O'Farrell*, 853 F.2d

894, 7 USPQ2d 1673 (Fed. Cir. 1988). In particular, the court notes that there two ways to mistake "obvious to try" with obviousness. One is discussed below:

In some cases, what would have been "obvious to try" would have been to vary all parameters or try each of numerous possible choices until one possibly arrived at a successful result, where the prior art gave either no indication of which parameters were critical or no direction as to which of many possible choices is likely to be successful.

The Examiner has not met her burden of proving that use of saponin as an adjuvant would have been obvious *and* successful for an *S. equi* mucosal vaccine. The state of the art regarding vaccine preparation was not (and is not) as cut and dried as the Examiner presumes, but is quite unpredictable. Identification of a safe *and* effective vaccine entails more than merely combining the ingredients that others have used in other vaccines and "voila!" This is especially true with respect to the selection of appropriate adjuvants, namely saponin. For example, saponins have unpredictable adjuvant activity in humans, according to the disclosure in U.S. 5,817,314:

Currently, aluminum hydroxide (alum) is the only available adjuvant approved for human use because of its low toxicity. Quil-A is, however, a mixture of a large number of homologous glycosides which may be represented by the general chemical structure wherein triterpenoid quillaic acid, the aglycone, is bonded to a sugar moiety of various type and length through a glycosidic linkage. It is also known that each of these glycosidic components displays widely varying adjuvant activity and toxicity, and therefore, Quil-A is not safe for use in pharmaceutical formulations for man (Kersten et al., Infect. Immun., 56, 432-438 (1988)). Accordingly, there have been attempts to identify only the safe and effective Quillaja saponin components and to develop a method for preparing thereof (emphasis not original).

It is not at all clear how the references overcome the same unpredictability in horses, with an attenuated *S. equi* vaccine. The references provide no clarification on this point, nor has the Examiner.

With no factual support for her position, the Examiner nevertheless did not consider the Li declaration persuasive on the issue of unpredictability of the effect of saponins as adjuvants in horses. Instead, the Examiner tried to shift the burden to Li by arguing that Li failed to evidence the ineffectiveness of saponin. The Examiner is respectfully reminded that the PTO bears the burden of establishing *prima facie* obviousness. Since the test for obviousness is a reasonable expectation of success, and Li provided an expert view on that issue, the Examiner must do more than offer unsupported arguments for obviousness.

In the absence of any actual experimental information about saponin's effectiveness as an adjuvant for intranasal vaccination of horses against *S. equi*, Li provided evidence of its unpredictability. While the presence of objective evidence would weigh in favor of non-obviousness, the lack of it does not weigh in favor of obviousness. *Custom Accessories, Inc. v. Jeffrey-Allan Indus., Inc.*, 807 F.2d 955 (Fed.Cir.1986)). The Examiner has done nothing to refute Li's evidence. Accordingly, Applicants submit that the Li declaration, alone or in combination with the disclosure of U.S. 5,817,314, excerpted above, provides sufficient evidence of non-obviousness to use saponin as an adjuvant in horses for a vaccine against *S. equi*.

In further support of their argument of unpredictability, Applicants submit herewith an article by Jacobs et al., with **Hartford** as an author, (*Veterinary Record* 2000; 147:563-67; Exhibit 1), the TW 928 vaccine (deletion mutant as described in the Hartford patent application) was evaluated *in horses* via administration intranasally and intranasally and intranasal administration failed to protect animals:

In experiment 2, this mutant was tested as a vaccine by administering it by the intranasal and intramuscular routes. It was surprising that the intranasally vaccinated horses did not appear to be protected whereas the horses vaccinated intramuscularly were completely protected (page 566, col. 1, beginning third from last sentence).

This article continues by disclosing that some of the intranasal mutants, although attenuated and safe in mice, actually cause strangles in horses. According to the article, the only route of

administration that was successful using the deletion mutant vaccines was oral mucosal (page 566, col. 2, first paragraph). Moreover, use of saponin as an adjuvant is only disclosed in connection with the purified M-protein adjuvant (see page 563), not the deletion mutant. The authors concluded that since the intranasal vaccines were either safe but not protective, or caused strangles, that the optimal attenuation route for the intranasal route is "difficult to reach or does not exist at all."

In view of this teaching and the above arguments, Applicants assert that an obviousness argument cannot be sustained since it is clear that the prior art does **not** establish that saponin is suitable for any route of administration, for **any** *S. equi* vaccine. If use of saponin was obvious as of the filing date, in view of the teachings of Hartford, Timoney and Estrada, it remains a mystery that despite disclosing that saponin and 10 other agents could be used as adjuvants, Hartford herself *still* was not contemplating use of saponin with her *S. equi* vaccine three years following filing of her patent application (as the 2000 article demonstrates). Indeed, Hartford continued to fail to find an effective vaccine (which the claimed invention most certainly is, as discussed below) for nasal administration even after the filing date of this application. Hartford would presumably have been aware of saponin adjuvants, e.g., as disclosed in Estrada, which issued in 1997. Oddly, Hartford declined to use them – though according to the Examiner that would have been obvious.

Accordingly, withdrawal of this rejection is respectfully requested.

3. According to the Examiner, Applicants have not demonstrated sufficient secondary indicia of unobviousness, e.g., commercial success and long-felt need, because Applicants have not supplied sufficient evidence that the Timoney strain is not effective commercially (e.g., the Daily declaration); and that the gross sales in comparison of a main competitor's product is not a sufficient assessment of total market share.

Applicants are admittedly stymied by this argument of lack of market share data. It appears that the Examiner is requesting that Applicants provide non-existent data in view of the fact that the Timoney vaccine is not, nor was ever, commercially available. However, if the Examiner so maintains, Applicants, in order to be compliant, will submit a declaration attesting to the fact that

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the market share of the instant vaccine is significantly greater than the zero market share of the Timoney vaccine.

The Examiner also asserts that the commercial success cannot be attributed to the presence of saponin; and that prior art *S. equi* vaccines already solved the long-felt need. Again, Applicants respectfully disagree with this contention, which lacks any factual basis. Applicants have demonstrated sufficient secondary indicia of unobviousness, e.g., commercial success and long-felt need, via evidence that the Timoney strain is so ineffective commercially it was not commercialized.

There is no requirement to compare the commercial success of a product embodying the claimed invention with the closest prior art, Timoney. Applicants submit that possibly the Examiner is confusing the requirements of evidence of "commercial success" with evidence of "unexpected superior properties." While the *latter* requires comparison with the closest prior art, the former does not – indeed, in this case, cannot. As such, the commercial success demonstrated in the Daily Declaration should be accepted as *prima facie* evidence of unobviousness even in the absence of such a comparison.

With respect to the Examiner's failure to accord the evidence of commercial success with its appropriate weight, Applicants respectfully remind the Examiner of the Federal Circuit's stance on secondary indicia of unobviousness. In *Alco Standard Corp. v. Tennessee Valley Authority*, 1 USPQ.2d 1337 (1986), the Federal Circuit expressly held that the "secondary considerations" relating to obviousness, including commercial success, long-felt but unsolved needs, and failure of others, was an inquiry which is "...an essential and integral part of determining obviousness *vel non*" further citing other cases. The Federal Circuit has also held that:

Evidence of secondary considerations may often be the most probative and cogent evidence of record. It may often establish that an invention appearing to have been obvious in light of the prior art was not. It is to be considered as part of all the evidence, not just when the decisionmaker remains in doubt after reviewing the art.

Stratoflex Inc. v. Aeroquip Corp., 713 F.2d at 1538-39, 218 USPQ at 879; see also In re Piasecki, 745 F.2d 1468, 223 USPQ 785 (Fed.Cir.1984).

Lastly, in opining about validity of a patent over an obviousness challenge, the Federal Circuit stated that:

...a determination that a patent challenger has carried its burden under §103, however, requires full consideration of any objective evidence of non-obviousness offered in rebuttal. That §103 issue cannot fairly be decided on only one party's part of the evidence (e.g., patent challenger's prior art) while disregarding the compelling impact of the other party's part (e.g., patentee's objective evidence). Nor is there warrant for singling out §103 as an area in which courts may disregard the probative force of any part of the evidence.

Panduit Corp. v. Dennison Mfg. Co., 810 F.2d 1561 (Fed. Cir. 1987). Accordingly, Applicants respectfully request that the Examiner reconsider the evidence submitted in the Li and Daily declarations in view of the established law.

In further support of non-obvious, Applicants submit herewith several exhibits that show that Applicants' Pinnacle<sup>TM</sup> vaccine, which is an embodiment of the claimed invention (an attenuated *S. equi* with a saponin adjuvant) is the recommended and most widely used to date in the U.S. and Canada, and that this is due to the nasal administration made possible by the saponin adjuvant (as opposed to the aluminum hydroxide adsorbed suspension that is administered intramuscularly). To demonstrate this, Applicants submit another article, which quotes prior art inventor Timoney, as acknowledging that the Applicants' intranasal vaccine produces no adverse complications and appears to be protective in many horses. This article teaches that one caveat is that strangles can occur when the horses are administered the intranasal vaccine *concurrently* with the intramuscular vaccine, due to contamination from or improper handling of the needles for intramuscular injection (Grayson-Jockey Club Research 2002; 19(2): 1-4; Exhibit 2). As evidenced by the Pinnacle<sup>TM</sup> package insert (Exhibit 3) however, the Pinnacle<sup>TM</sup> vaccine does **not** require conjoint administration with the intramuscular vaccine.

Commercial success in North America is also supported by a report by the Canadian Ministry of Agriculture and Food, which reports that the Pinnacle<sup>TM</sup> vaccine, administered twice over 1 to 3 weeks, is more attractive than a killed, intramuscular vaccine since it produces the local antibodies necessary for protective immunity (Exhibit 4; page 2).

Lastly, an online report on a horse clinic in which vaccinations were discussed recites a recommendation from one veterinarian that horses should not be vaccinated against strangles, but further recommends that, if the owners decide to vaccinate, the intranasal vaccine (i.e., Applicants Pinnacle<sup>TM</sup>) is "superior" to the intramuscular vaccine (Exhibit 5).

Regarding long-felt need, it is undisputed that where a product meets an unsolved need and is quickly adopted by the industry, that commercial success weighs against obviousness. *ATD Corp v. Lydall, Inc.* 159 F.3d 534, 48 USPQ2d 1321 (Fed. Cir. 1998). Applicants assert that if the prior art had solved the long-felt need, there would have been no reason to continue research and development for strangles vaccines after Timoney. Clearly, the facts establish otherwise, as demonstrated both by Hartford and the present invention. Recent publications, two abstracts of which are submitted herein (Exhibits 6 and 7), also contradict any such conclusion. These abstracts indicate that continued improvements to the Applicants' own Pinnacle<sup>TM</sup> vaccine (even by Timoney, who recognizes that his own patented strain works as a vaccine when combined with saponin in accordance with Applicants' invention, as shown in Exhibit 6), and new vaccines based on recombinant subunits (Exhibit 7). This is also contradicted by the length of time from the time the Timoney patent issued (1993) and the time Pinnacle<sup>TM</sup> achieved commercial success beginning in February 1998.

4. The Examiner contends that Applicants have not demonstrated that the S. equi vaccines in Hartford are not similarly protective to that of the present invention.

To rebut this, Applicants direct the Examiner's attention to the Veterinary Record article of which Hartford is an author (Exhibit 1) which blatantly demonstrates "from the horse's mouth" (pun intended) that intranasal administration of the Hartford vaccine is **not** protective. Further, while

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pre-clinical studies with mice are valuable to evaluate the potential clinical safety and efficacy, this does not preclude the necessity for studies in the animal for which the drug will be approved and indicated. Since Hartford did not use saponin as an adjuvant in horses, much less for mucosal administration, there would be no conclusive results from doing comparative studies of the Hartford

vaccine and that of the instant invention in horses or mice.

vaccinated horses against a sever virulent S. equi challenge.

5. The Examiner asserts that the phrase "following S. equi challenge" is not

supported in the specification.

To address this rejection, the Examiner's attention is respectfully directed to page 11, first and second full paragraphs, and page 15, second full paragraph, and page 16, lines 24-28. At page 11, the specification specifically discloses administration of a first dose of the vaccine and a booster dose 21 days later, followed by challenge with virulent *S. equi* 23 days following the booster (page 11). At page 15, the specification states that "the vaccinated horses were significantly protected against clinical disease as compared to the controls following a severe *S. equi* challenge." At page 16, the Conclusion indicates that "The composition of the invention satisfactorily protects

In view of the above, each of the presently pending claims in this application is believed to be in immediate condition for allowance. Accordingly, the Examiner is respectfully requested to pass this application to issue.

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Respectfully submitted,

By Hehule

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# Investigations towards an efficacious and safe strangles vaccine: submucosal vaccination with a live attenuated Streptococcus equi

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As part of a search for a safe and efficacious strangles vaccine, several different vaccines and different vaccination routes were tested in foals. The degree of protection was evaluated after an introcessi challe with virulent Streptococcus equi by clinical, postmortem and bucintiological examinations, inactivated with Whitehast Control of the Protection of the Control of the Con channes of the americal solutions are interested and protective of contract at least corte at enterests of four weeks by the intransaction mutual administered intransactionly, induced complete protection but also induced transcaptible local reactions at the site of vaccination. Submiscosal vaccination in the inner side of the upper lip with the live attenuated mutant at ≥10° colony-forming units per dose, appeared to be and and efficacions in feels as young as four months of age. The submucosal vaccinations caused small translate smallings that resolved completely within two weeks, and postmortum no vaccine remnants or other abnormalities were found at the site of vaccination.

Streptococcus equi subspecies equi causes strengles, a highly contagious disease in the family of Equidae that is charactrained by fever and abscess formation in the lyamph nodes of the head and the neck. The disease occurs worldwide and causes berry economic losses in terms of the cost of treatment, quarantine measures and, occasionally, the death of

Most vaccines available on the market have incorporated inactivated whole cells of S equi or M-protein extracts. However, such vaccines are notorious for their adverse reactions and induce harrily any protection against natural or experimental infections (Woolcock 1974, Scivastava and Barnum 1981, 1983, 1985, Timoney and Eggers 1985, Sweeney and others 1987, Jorna 1990), Moreover, there is evidence that for protection a roucosal immune response rather than a systemic response is needed (Srivastava and Barnum 1983, 1985, Galan and Timoney 1985, Timoney and Eggers 1985, Timoney and Galan 1985, Galan and others 1986). These studies suggest that the nasopharynges! mucosal immune system should be triggered by the intransal administration of an attenuated live vaccine or by purified antigens in a muchal adiuvant

As part of a search for a safe and efficacious strangles vaccine the authors have tested several different vaccines and diffarent vaccination routes in horses. A live avirulent deletion mutant administered by the submucosal route (in the inner side of the upper lip) appeared to be the only safe and effica-cious method of vaccination.

Veterinary Record (2000) 147, 563-567

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## **MATERIALS AND METHODS**

5 oqui strains

Strain TW is a wildtype S equi Isolated from a lymph node abscess of a foal with strangles in the Nerherlands. This strain was used to prepare the different inactivated vaccines.

Strain Twoza is a live avirulent deletion mutant derived from S equi strain TW (Enropean Patent Application number 786518). Part of a gene essential for the cell's metabolism was

deleted. This mutant was constructed by the electroporation of gene knock-out countracts and gene deletion (±1 kb) con structs. In the vaccine mutant strain no vactor derived and biotic resistance markets or other foreign DNA is present. The mutant strain was tested for hacmolysis, capsule synthesis and sugar fermentation, and at all these respects behaved like th wildtype strain.

Strain Armics is a wildtype 5 oper isolated from a lysopl node abscess of a house with strangles in the Netherlands This strain was used as the challenge strain and induces strangles in 100 per cent of the control houses tested Although 5 equi appears to be a clonal pathogen and genet ically and immunologically very homogeneous (Galan and Timoney 1988, Joem and others 1994) a challenge strain did ferent from the vaccine strain was chosen, in order to strengthen the efficacy data.

For all the experiments Shetland fouls ranging in age from four to 16 months with no history of strangles vaccination or disease were used.

### Vaccines

Three vaccines were used: ·

Purified M-protein-based vacaing This vaccine contains 250 µg purified M-protein/ml in purified seponin adjuvant Each vaccination consisted of 2 ml administered intrames cularly in the neck. The native M-protein was released from the cell wall by the enzymatic incubation of cells of strain TV with lysosyme (10 per cent w/w) and mutunolysin (17) units/g) and subsequently purified in one step by fibrinoger affinity chromatography (Mechan and others 1998). The purified material resolved as one protein band at about 18 kDa in sodium dodecylsulphate (SDS)-polyacylamide ge electrophoresis, and was strised with Coomassle brillian bine. Old preparations occasionally resolved at about 58 kD: indicating that the 180 kDs band consists of smaller subunits as described by Mechan and others (1998).

Papers & Articles\_

inactivated whole call vaccine This vaccine contained 10+7 formalin-inactivated cells of strain TW/ml in purified saponin adjuvant. One dose was 2 ml administered intramuscularly in the neck.

Live avirulent S equi strain 1992s vaccine The deletion mutant was freeze-dried in small glass ampoules and reconstituted with distilled water just before use.

For intranasal vaccination (experiment 2) one dose consisted of 2 ml (1 ml into each nostril) containing 1000 colonyforming units (CFU).

For intramuscular vaccination in the neck (experiment 2) one dose consisted of 2 ml containing 10% CFU.

For submucosal vectination in the inner side of the upper lip, that is needle injection just below the mucosal layer, one dose consisted of 0-2 ml containing 10° CFU (experiment 3 and 4) or dilutions thereof in physiological saline containing 10\* or 10' CFU (experiment 4).

Challenge

In all the experiments the foals (vaccinates and controls) were challenged intranasally two weeks after the last vaccination. One mi of a fresh culture of S equi strain Arnica containing about 10° cru/mi was administered into each nostril with a 2 ml syringe without a needle. This consistently resulted in signs of strangles within five to 10 days in all the control animals tested.

Experiment 1

Six, six-month-old fouls were used. Three fouls were vaccinated twice intramuscularly, with an interval of four weeks, with the purified M-protein based vaccine, and three foals were left unvaccinated as challenge controls. Two weeks after the second vaccination all six foals were challenged intranasally with S equi strain Arnica.

Experiment 2

Twelve yearling horses, 13 to 16 months of age, were used. Three horses were vaccinated three times intranasally at intervals of four weeks with 1000 CFU of the live avirulent S equi trustant strain Twee. Three other horses were vaccioated three times intramuscularly at intervals of four weeks with the same dose of the same strain. Three other horses were vaccinated three times intramuscularly at intervals of four weeks with 10th cells of the inactivated whole cell vaccine. The last group of three horses was left unvaccinated as challenge controls. Two weeks after the last vaccination all the horses were challenged intransally with S equi strain Arnica.

Experiment 3

Seven foals, nine to 11 months of age were used. Five were vaccinated twice submucosally in the upper lip, with an interval of four weeks, with the deletion mutant strain TW928 and two foals were left unvaccinated as challenge controls. Two weeks after the second vaccination all seven foals were challenged intranspilly with S equi strain Arnica.

Experiment A

Sixteen, four-month-old fosts were used. in order to determine the minimum protective dose, three groups of four foals were vaccinated twice submucosally in the upper lip, with an interval of four weeks, with deletion mutant strain TW920 at doses of 10° CFU, 10° CFU or 10° CFU. Four horses were left unvaccinated as challenge controls. Two weeks after the secand vaccination all the foals were challenged intranasally with S equi strain Amica.

Clinical examination

Just before the challenge, and then at least three times a week. the horses were examined clinically with special attention for

signs of strongles. If the horses showed a sudden increase in rectal temperature with clearly swollen submandibular and/or retropharyngeal lymph nodes, whether or not these signs were accompanied by strider due to obstructed airways, they were regarded as having strangles.

Postmortum examination and bacteriology

In severe cases the horses were killed two weeks after challenge, or otherwise three weeks after challenge, and examined postmorters with special attention to signs of strangles. The diameters (cm) of the obscesses, if present, in the left and right submandibular and retropharyngral lymph nodes were recorded. Swab samples from various tissues were streaked on to blood agar for bacterial isolation. Swab samples from all the left and right submandibular and retropharyogeal lymph nodes, from all the left and right guttural pouches and from any other abnormal tissues were streaked on to sheep-blood agar for bacteriology. The agar plates were incubated for 18 to 24 hours at 37°C. Sequi was initially identified by the typical watery & haemolytic colony morphology and Gram stain and confirmed biochemically by the fermentation of glucose and the lack of fermentation of trehalose, lectuse, ribose and sorbitol. S equal could be easily distinguished from S 200epidemicus because the latter does ferment lactose, ribose and

Enzyme-linked immunosorbent assay (susa)

An antibody ELISA against a mutanolysin and lyzozymesolubilised call wall entract gave high and variable antibody times, with no differences in titres between vaccinates and controls, most probably because of highly cross-reacting antibodies to S 200epidemicus. This opportunistic commental was isolated from the mucal passages of all the horses in the experiments, in contrast to S equi which was only isolated from challenged animals. Before the EUSA was applied the sera were adsorbed with dense suspensions of S zopepidemicus. After clearing by centrifugation, serial two-fold dilutions of the adsorbed sera were made in microtitre plates coated with the cell wall extract. After incubation and subsequent washing, bound antibodies were quantified with protein-G conjugate and 3,3'-5,5'terramethylbenzidine as the substrate. Adsorbing the sera resulted in much lower but more specific S equi antibody titres.

#### RESULTS

Experiment 1: Na-protein-based vaccine
Within six days of challenge, all six foals developed clinical signs of strangles characterised by a sudden increase in rectal temperature (>40°C) and swollen lymph nodes in the head and the neck, whether or not accompanied with stridor due to obstructed airways (Table 1). They were about equally affected except for horse 56 which had milder signs. A post-

Horse	Vaccine	Route	Diagnosis	Diameter (	on) of hospi S-index	h node absor Jekspirkt	retrooksit	The same
55			Strangles	5	7		10	· 30
56 59	M-protein	184	Strangles Strangles	-	-	3 .	3	6
57			Strangles	7	ì			.30
58 60	Control		Strangles	•	•	ž	3	.20

<sup>\*</sup> From all the obscusses pure cultures of \$ equi were isolated; normal lymph modes were culture

regionea • No abscissi presioni, liki intramizicular, subm Submandibular, retroph Retropharyngcal, Liteli,

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Horse	Vecine STU/dose	Route	Diagnosis			h mode absut retropis-L	resroph-R	Total
35 38 39	TW925 10 <sup>84</sup>	jbl .	Doubthiff Strangles Strangles	- 5 8	3	10	10	30 16
34 40 41	10a-e 1Masse	1340	Normal Normal Normal	=	:	-	= '	0
37 42 45	Apple ceg Machated	M	Strangles Strangles Strangles	10 7 <del>- \$</del>	7 -5	10 5 -5	4	20 25 1
36 43 44	Control Control Control		Strangles Strangles Strangles	- 7 6	4 10 9	5 7 6	6	13 24 26

\* From all the abscesses pure cultures of S equi were isolated; normal lymph modes were culture

on in rectal temperature and retropharyngad lymph nodes semine upon

proposors

\* Enlarged and oedernatious but no absents, S equi isolated

\* Very enlarged lymph nodes with no absents, no S equi isolated

- No absents present, un intransentale, Ni Intransent CFU Colony-forming units,

— No abscess present, un intromuscular, in Introvatal, CFU Colo subm Submandibular, retroph Retropharyngout, i. Left, if Right

		B-48-4-		Diameter (cm) of lymph node obscuses postmortum						
Horse	Vectine CPU/dose	Antibody Litre	Diagnosis	anpw-f	subm-R	istoby-?	netroph-R	Rycal		
<u> </u>		234	Nombal	-	-		- :	0		
3	TW928	320	Montan	~	_	-	- :	0		
5	10"	200	Normal	-	_		•	0		
7		234	Nontral	-	_	-	- i	0		
9		250	Hormal	-	_	-	- :	0		
1	Control	290	Stranger	5 .	5	4	5	19		
8		294	Shardes	-	7	_	_	7		

\* From all the abscusses pure cultures of 5 equi were broked; normal lymph nodes were culture

'S agui antibody title on day of challenge - No absume present, CFU Colony-forming units, subm Submandibules, retroph Retrophenyngsal, L Left, fi Right

mortem examination two works after challenge confirmed the clinical findings. There were large abscesses in the submandibular and retropharyugeal lymph modes from which pure cultures of S equi were isolated.

Horse	Vaccine CRU/dose	Artibody Utral	Diagnosis	Diameter (	and at plant	node absor natroph-L	reses postric resespin-it	
17		230	Normal	-		3		- 3
18	TWEE	214	Hormal			_	_	0
22	10	200	Normal	_	_	_	-	0
23		324	Strangles	5	-	7	7	19
16		214	Strangles	•	-	4	•	14
24	TWEE	2**	Hormal	_	~	~	:	٥
ヹ	10*	250 250	Hormal	-	-	-	- :	0
26		250	Normal	_	-	. 3	i	3
21		294	Stransless	_	_	6		•
27	Terrorati	-QH	Stranger		•	5	3	25
28	107	<a>₽</a>	Stanges	6	ä	0.5	05 '	17
27 28 29		214	Stranges	4	ī	5	4	14
20		<b>42</b> 14	Strangles	6	5	4	4 .	15
30	Control	200	Stranger	7	5	Ì	•	25
31		214	Stanides	3	_	5	5	13
32		278	Strangles	1	_	ã	4	1

From all the abscesses pass cultures of 5 equi were isolated; normal hyph nodes were culture

- No apai embody time on day of challenge - No abstrast present, CPU Colony-forming units, subm Submandibulae, retroph Retrophenysgeal, L Left, it Right

#### Experiment 2: Inactivated whole cell vaccine VERSES TWO THISDS

After the intranassi or intramuscular vaccinations no abnormalities were observed except that the three horses vaccinates intramuscularly with deletion mutant strain TWMs developed local reactions at the site of vaccination, that is, local swelling of the neck muscle. After challenge, all three control borner developed severe clinical signs of strangles characterised by high rectal temperatures and swollen and painful lymph nodes of the head and the neck (Table 2). Two of the horses in the group vaccinated intranacally with the live-attenuated mutant (38 and 39), and two of those vaccinated intramuscularly with the inactivated whole cell vaccine (37 and 42) had signs of strangles comparable to those in the controls, wherethe other horses in these two groups (35 and 45) showed milder signs (Table 2). The three bosses vaccinated intramuscularly with the deletion mutant were completely protected against strangles; no increase in rectal temperature and no enlarged lymph nodes were observed after challenge. A postmortem examination confirmed the clinical findings. All the horses with clinical signs had abscesses in the submandibuler and/or retropharyngeal lymph nodes from which pure cultures of S equi were isolated. The three horses that were vaccinated intramuscularly with the deletion mutant appeared to have normal lymph nodes from which Sepai was not isolated. However, these protected horses had unacceptable local reactions in the form of abscesses at the vaccination

Experiment 3: Submucosal vaccination with years After the submucteal vaccinations, small transfers reactions were observed at the injection site characterised by small submucosal swellings (2 to 3 cm diameter) which resolved com pletely within two weeks. The reactions caused no apparent discomfort to the foels which all had a normal appet

After challenge, all five feals vaccinated submucosally were protected against strangles whereas both controls developed clear signs of strangles (Table 3). Postmorten examination confirmed the clinical findings. Both control horses had abscesses in the submandibular and/or retropharyages! lymph nodes from which pure cultures of S equi were isola whereas all the vaccinated horses had normal lymph nodes from which S equi was not isolated. Furthermore, no vaccine remuants of other abnormalities were found at the vaccingtion sites postmortem. All the vaccinated animals had a Sequi antibody titre 2200 whereas both controls had a lower titre.

### Experiment 4: Dose-response study with THUM

After the submucosal vaccinations small, transient, do dependent submucosal swellings (2 to 3 cm diameter) were observed at the injection site which resolved completely within 7000 weeks. The reactions caused no apparent discorfort to the horses which all had a normal appetite. The group receiving the lowest dose of 10' CFU showed no reach

Except for three of the horses given 10° CFU and three of the horses given 10° CFU the horses developed clinical signs of strangles (Table 4). Postmortem examination confirmed the clinical findings, except that horses 17 and 26, although they were clinically protected, had a small abscess (3 cm diameter in the left retruphscyngeal lymph node. However, compared with the controls these borses were clearly less affected. As in experiment 3, the protected animals (except one) had an S equi sutibody titre ≥2<sup>34</sup>, whereas all the unprotected animals had a lower titre.

### Possible correlations between S egal antibody titre and protection

In experiments 3 and 4, after submucosal vaccination with the live-encounted deletion mutant and subsequent challenge there was an apparent correlation (r=0-80) between the

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horses' serum antibody titres on the day of challenge and the degree of protection against strangles. All the horses with clinical signs of strangles after challenge had a titre <2' whereas all but one of the protected horses had titres ≥21. A similar correlation (r=0.79) was found between the antibody titres and the cumulative size of the lymph node abscesses postmortem. However, more data would be needed to validate these correlations statistically. Furthermore, it is at most an indirect correlation, because after the parenteral vaccinations with the inactivated vaccines in experiments 1 and 2 the horses had titres up to 2°, but they were not protected.

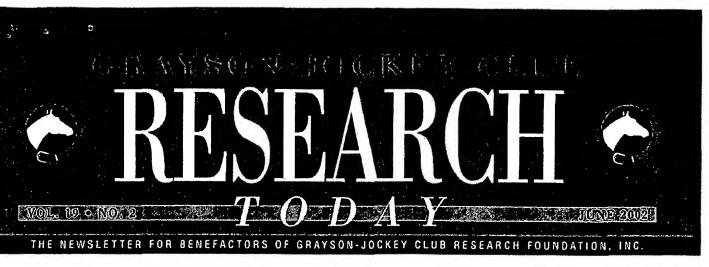
### DISCUSSION

In this study several different vaccines and different vaccination routes were tested in horses. The results show that the combination of live attenuated bacteria and parenteral vaccination is essential for protection against strangles. In particular, live attenuated S equi strain TW128 administered by the submucosal route appeared to be a safe and efficacious

The M protein belongs to a family of cell surface-associated proteins of strepmoocci. It is regarded as an important virulence factor (Woolcock 1974, Galan and Timoney 1987. Boschwitz and Timoney 1994, Mechan and others 1998) and therefore most commercially available strangles vaccines for parenteral use are based on either inactivated whole cells or on bacterial extracts, both containing the M-protein. However, according to the literature these vaccines induce bardly any protection against natural or experimental infections (Wookock 1974, Srivastava and Barnum 1981, 1983, 1985, Timoney and Eggers 1985, Sweeney and others 1987, Jorn 1990). This supposition is supported by the present experiments. In experiment 1, a vaccine containing 500 µg per dose of native purified M protein did not protect horses against strangles after two parenteral vaccinations. However, the same vaccine induced good protection in take after subcutaneous vaccination and a subsequent lethal intranssal or intraperitoneal challenge (A. Jacobs, unpublished observations) implying that results in mice do not predict the results in houses. Similarly, in experiment 2, a vaccine containing 10% CFU/dose of formalin-inactivated cells did not protect horses against strangles after three parenteral vaccinations. These results indicate that inactivated whole cell or subunit vaccines given by the parenteral route, and possibly a systemic immune response in general, are not protective. In fact the results suggest that a mucosal immune response rather than a systemic immune response may be required for protection. It is also possible that live becreria grown in vivo have a different antigenic composition than in vitro-grown bacterin entigens. In general, systemic immunity, characterised by a humoral IgG response, is triggered by parenteral (systemic) vaccination, whereas a mucosal immune response, characterised by mucosal IgA, is triggered by presenting antigens to the mucosal surfaces. This can be achieved by the Intranasal administration of a live-attenuated vaccine strain or purified antigen combined with a muccomi adjuvant. A live-attenuated deletion mutant, strain TV928, was therefore constructed, Pilot experiments had shown that TW928 was attenuated in mice when tested by the Intranssal or intraperitoneal route, and also that it did not cause strangles in foals when using the standard intranssal challenge model (A. Jacobs, unpublished observations). In experiment 2, this mutant was tested as a vaccine by administering it by the intranasal and intramuscular mutes. It was surprising that the intranasally vaccinated borses did not appear to be protected whereas the horses vaccinated intramuscularly were completely protected. In con-trast with inactivated vaccines given by the parenteral route. a live vaccine given by this route induces protection.

Although Tw924 was protective when administered intramuscularly it induced local reactions in the form of abscesses at the site of injection which were regarded as unacceptable ... for a vaccine to be used in the field. Attempts were therefore made to attenuate this mutant further by constructing additional nitrosoguanidine (NTC)-induced mutations affecting the streptolysin 5 (SLS) baemolysin and the bacterial capsule, resulting in double or triple mutants. Single or double mumns defective in St.S haemolysin and the capsule but lacking the original attenuating lesion were also prepared. However these mutants, when tested by the intramuscular :: route were either safe but not protective, or protective but not safe, as indicated by local reactions at the vaccination site (A. Jacobs, unpublished observations). Similarly, when they were tested by the intransed route, the mutants were either safe but not protective, or actually caused strangles. An SLS -(haemolysin)-negative mutant and an SIS/capsule double mutant derived from S equi strain Tw, although they were both strongly attenuated in mice, caused strangles in yearling horses, with the mutant strains being isolated from the lymph 🕠 node abscesses (A. Jacobs, unpublished observations). Apparently S equi can cause strangles without the SLS baemolysin and/or capsule. This result is consistent with the . ! results of Gaian and others (1988) who found that a capsuledefective mutant of S equi still caused strangles in young look. Since these trials showed that further attenuation did not 📲 Improve either the safety or the efficiety of the vaccine when 😘 administered by the intramuscular or intranasal coutes, ". another vaccination site was explored. In experiment 3, the " horses were vaccinated submucosally in the inner side of the upper lip just below the unucosal layer. This new parenteral vaccination route appeared to be safe as well as efficacious. Only practical small submucosal swellings were observed " which resolved completely within two weeks, and no residues 🕟 or other abnormalities were found at the injection site postmortem. Furthermore, all five vaccinated horses appeared to be protected, in contrast with the two challenge controls which both developed strangles. In experiment 4 the minimum protective dose was established at 10° CRL It can be conchided that strain TW928 is a promising candidate vaccine. Furthermore, the fact that it is a deletion mutant makes it · ... highly unlikely that it can revert to virulence.

The intriguing question of the mechanism of protection remains to be answered. There is accumulating evidence that 🐭 a mucosal immune response is essential for protection against strangles (Srivestava and Barnum 1983, 1985, Galan and A. Timoney 1985, Timoney and Eggers 1985, Timoney and Galan 1985, Galan and others 1986). However, the present. 🕚 results do not confirm this hypothesis because the live-attenuated vaccines tested intransatily were either safe but not pro-tective or caused strangles. This indicates that the optimal attenuation for the intranseal route is difficult to reach or does not exist at all. In contrast, the results show that systemic vaccination induces good protection provided that a live vaccine is used. The fact that the vaccine was delivered by the parenteral route, and the apparent correlation between the antibody titres and the level of protection both suggest that the protection might be due to a systemic immune response. On • • the other hand, this only works when a live vaccine is used because the inactivated whole cell vaccine and the M-proteinbased subunit vaccine afforded no protestion. In addition, the apparent correlation between the antibody titres and protection was only observed when a live vaccine was used via the parenteral route. After parenteral vaccination with the inactivated whole cell vaccins or the M-protein-based subunit vaccine, antibody titres >2' were observed, but the horses · · · sers not protected. These discrepancies might be explained by the upregulation of additional antigens (essential for inducing protection) in the live vaccine strain in vivo. Furthermore, live bacteria could trigger a different and/or



# SEEKING SOLUTION TO STRANGLES

(Editor's Note: The march of progress in medicine is often a long and difficult one, marked more by frustrations than by chances to shout "eureka!" Determination perhaps is no less important to a scientist as knowledge and intellectual inquiry. Among researchers who have been funded by Grayson-Jockey Club Research Foundation is Dr. John Timoney of the University of Kentucky, as he works toward a safe, reliable vaccination for a painful equine disease. The following illustrates both the difficulties, and importance, of such journeys.)

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Research involving the causative bacterium of the disease known to horsemen as "strangles" has been an ongoing interest of Dr. John Timoney for many years. Dr. Timoney has been responsible for much of the seminal work involving Streptococcus equi, and is currently delving specifically into the search for new components of the organism's structure or molecular composition which may function as immunogens, or units which alert the horse's immune system and elicit a containment response towards the pathogen. Despite the last decade's advances and forward strides in our understanding of S. equi and its manifestations of infection, many feel that a vaccination preparation which is reliable with regard to both safety and efficacy has yet to be developed. Timoney's present investigation focuses on immunogenic portions of the bacterium which are present in addition to M protein, the current component of parenteral strangles vaccines.

As information is developed about these additional protein immunogens, it is likely to lead to an improved vaccine preparation, eliciting a containment response toward the pathogen that more closely parallels what occurs in natural infections. The importance of this work lies in the possibility that a substantial improvement in our ability to successfully immunize horses against strangles will result.

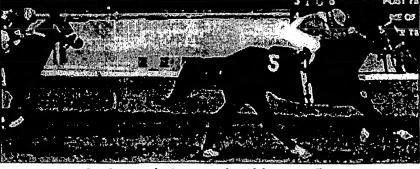
In its crudest form, vaccination is achieved by simply injecting an emulsion or suspension of a pathogen into an animal. The dose of pathogen injected must be small enough to avoid overwhelming the immune system and causing the very clinical signs of disease the vaccination process is trying to prevent, yet must be sizeable enough to be "perceived" by the immune system (continued on page 2)

# Races Named for Grayson-Jockey Club

Several race tracks have provided opportunities for the Foundation to achieve increased visibility by naming overnight races for Grayson-Jockey Club. The first Grayson-Jockey Club Purse was held at little Rillito in Tucson, AZ, on Feb. 17, and was won by Jose A. Barrios' Cop Out. More recently, Prairie Meadows held its Grayson-Jockey Club Purse on May 24. B. E. Howerter's Bonita Rose won the \$25,000 event. Dr. Scott McClure, whose project on shock

wave therapy at Iowa State University is being funded by Grayson-Jockey Club, was interviewed before and after the event on Prairie Meadows' in-house television system.

As of press time, Suffolk Downs, Calder, Belmont Park, and Emerald Downs also were planning races named for the Foundation. Grayson-Jockey Club appreciates the opportunities to explain to fans and horsemen alike the functions of the Foundation and how any individual can participate.



Cop Out wins the Grayson-Jockey Club race at Rillito.

# RESEARCH TODAY

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NOTICE: Upon request, a copy of the latest Annual Report filed by Grayson-Jockey Club Research Foundation, Inc. with the New York Secretary of State may be obtained from the Foundation (821 Corporate Drive, Lexington, KY 40503) or from the Secretary of State (162 Washington Ave., Albany, NY 12231).

## REMEMBERING GENEROUS LEADERS

In recent months, Grayson-Jockey Club Research Foundation, and all of the horse world, lost two of its staunch supporters through the deaths of Ogden Phipps and Mrs. Alice Mills. Mr. Phipps and his family have been longtime supporters of the Foundation through leader-ship as well as generosity.

Recent contributions included a portion of a stallion season in Seeking the Gold auctioned at Keeneland. The large number of memorial contributions made to the Foundation in Mr. Phipps memors is testimony to the respect be engagined on the Turf

ory is testimony to the respect he engendered on the Turf.

Mrs. Mills, who was director emeritus of the Foundation at the time of her death, also had been a supporter. She and her late husband, James P. Mills, made a major contribution to the Foundation in 1985 from the earnings of their champion Devil's Bag.

(continued from page 1) and elicit the appropriate protective response.

Vaccination of horses against the bacterium Streptococcus equi has traditionally been plagued by complications, most notably the development of reactions or abscesses at the injection site, and also by the development of the sometimes-fatal autoimmune disease "purpura hemorrhagica." Purpura also accompanies naturallyacquired infection. Some horses actually contracted strangles from their vaccine, while conversely, in other situations there was a documented failure of immunization to protect the animal when challenged by natural exposure. Given these problems, equine veterinary practitioners tend to recommend implementation of vaccination programs for strangles only when the horse in question resides on an endemic property or will be traveling to a high-risk exposure situation.

In the 1980s, the safety aspect of vaccinating for strangles enhanced by the development of subunit vaccines. Research identified a specific region of the bacterial cell wall, protein SeM, as being a highly immunogenic portion of the bacterium and able to induce as protective an immune response as that induced by a suspension of the entire bacterium. Production of these vaccines involved preparing suspensions of bacterial fragments containing only the bacterial cell wall components; administration of such products to horses was safer because vaccination could not lead to the development of active infection. Still, purpura hemorrhagica and sterile

abscessation at the injection site continued to be specters which attended vaccination of horses for strangles. The fact that vaccinations made from protein M are given parenterally (intramuscularly) also means that the efficacy of this procedure could be less than entirely reliable, because while circulating antibodies were made by the immune system in response, antibodies at the portal of entry were not elicited.

Most recently, researchers and commercial vaccine producers have focused upon targeting pathogens at their portals of entry, where infection first begins. For bacteria like S. equi, this means inducing protective antibody production in the tissue lining (the mucosa) of the upper respiratory tract.

Currently, intra-nasal vaccination for strangles is available, but this mode of immunization, like its predecessors, has experienced a troublesome relationship between efficacy and safety. The vaccine preparation currently available is a suspension of Strep. equi bacteria which are live, but attenuated so that they have reduced virulence.

While many horses have no adverse complications and appear to be protected, some horses which received their intra-nasal strangles vaccination at the same time that they were given their intramuscular immunizations (such as 4-way, rhinopneumonitis, influenza) developed strangles abscesses at the site of injection of the other vaccines. This unique complication arose from live bacteria in the vaccine getting on the hands of the person administering the vaccinations and (continued on page 3)



A fund raising trail ride and tour of historic Groton Plantation in Aiken, SC, was held during the spring. Here, riders are seen in front of Oakland Hall on the property. The ride was hosted by Mike and Iris Freeman. In the West, another trail ride as a Grayson-Jockey Club fund raiser was held on the T-4 Ranch of Forrest, Kim, and Jenifer Metz in Patagonia, AZ.

(continued from page 2) contaminating the needles and syringes used to administer the intramuscular doses. Apparent failure of vaccination to protect against clinical disease in certain cases, the occasional occurrence of purpura hemorrhagica, and even the development of strangles from the vaccine have all been documented in association with intranasal vaccination.

Streptococcal bacteria are responsible for serious disease in human beings, too. Streptococcal pharyngitis ("strep throat"), scarlet fever, toxic shock syndrome, and necrotizing fasciitis, a frightening disease which consumes living tissue and is associated with high mortality, are all caused by streptococcal organisms. Of the genus Streptococcus, S. zooepidemicus is the species of bacteri-

um which has evolved to co-exist with the horse. It is the most frequently cultured organism from a variety of equine infections.

Research in Dr. Timoney's lab at the Gluck Equine Research Center has resulted in the development of a numimportant of advancements in our knowledge about Streptococcus equi. That S. equi is a more virulent clone of an ancestral S. zooepidemicus has become apparent from the over 97% commonality of DNA that Strep. zoo and Strep. equi share. The 2-3% of the genetic material that is not shared with Strep. zoo and that is unique to Strep. equi is the focus of Tim-His present oney's current work. research investigates the hypothesis that it is this unique portion of the bacterium's genome which will code for immunogenic proteins which are specifically protective against strangles and which, when added to existing vaccine suspensions, may significantly improve efficacy. Timoney specifies that an antibody response on two different levels is needed in order for a vaccinated horse to be protected. First, antibodies produced at the surface of the mucous membrane where organisms first invade are necessary, because the presence of antibodies there will bind and neutralize the infectious organisms, preventing their binding to the horse's tissues. Second, anti-

bodies must additionally be present in the tissues which lie between that pharyngeal lining and the deeper lymph nodes, where they perform the same function, namely to bind and neutralize bacteria so that metastasis of infection to deeper lymph nodes ("bastard strangles") is prevented. Dr. Timoney feels that if a nasal vaccine can successfully prompt the above type of immune response, it would be conceivably possible to eradicate strangles from a vaccinated, closed herd. This has great implications for owners of farms or premises upon which the infection has become endemic, with cycles of outbreaks of clinical disease. The costs of quarantining affected horses, lost business, veterinary treatment, and the time of farm personnel in monitoring and caring for ill horses are considerable, and may in some circumstances be devastating.

Despite the inherent frustrations and difficulties associated with immunizing horses against S. equi, Dr. Timoney feels like successful vaccination is nevertheless a goal with an end in sight: "It certainly is possible that effective protection will be available to horses in the foreseeable future. Horses which have had strangles are quite resistant to a second infection, so nature herself tells us that effective 'vaccination' is possible."

The surface M protein (SeM), which has formed the basis of the par-

enterally administered subunit vaccines, is highly immunogenic and will probably remain a primary component of future vaccine preparations. Timoney's work has identified two additional proteins on the bacterium's surface, SePE-H, and SePE-I, which may appear to be key players in eliciting an immune response from the horse. All three of these immunogenic surface proteins, SeM, SePE-H and SePE-I, were first recognized and characterized in Timoney's lab. The Grayson-Jockey (continued on page 4)

## Dubai Millennium Memorial Research Award

Dr. Philip Johnson recently received the Grayson-Jockey Club Research Foundation's Dubai Millennium

Memorial
Research
Award.
Dr. Johnson is
conducting a
project on
laminitis at
the University of Missouri. The
award is
named for a
deceased
champion
which raced

for the Maktoum family's Godolphin stable. A season in Dubai Millennium was donated by Sheikh

Dubai Millennium donated by or i a later or a later on a later or a later or

Mohammed for auction on behalf of the Foundation late in 2000. The entire proceeds of the sale, \$270,000, were donated to the Foundation, which instituted the award appreciation.

(continued from page 3) Club Research Foundation has been a long-term supporter of Dr. Timoney's work, and, as such, has had a direct role in the development of much of what comprises current thought about Streptococcus equi.

Dr. Timoney credits the Sanger Sequencing Center at the University of Cambridge for defining the genom-

ic sequence of S. equi and then providing unrestricted access to the information for the improvement of equine health. S. equi is the first equine bacterial pathogen to be sequenced in its entirety. The project to elucidate the gene sequence for this organism was underwritten by the Home for Rest for Horses. The project's support at determining the organism's gene sequence together with the policy of free access generously provided to all investigators significantly accelerates the ability of researchers to identify new immunogens for possible inclusion into newgeneration vaccine preparations. Dr. Timoney's lab, so instrumental in developing information about S. equi in the past decade, is uniquely positioned to make use of the knowledge contained in the bacterium's gene sequence.

The genes which code for the three unique wall proteins of S. equi are part of the 2-3% difference in genetic material that exists between S. equi and S. zooepidemicus. Dr. Timoney stipulates that there are probably a total of 20 to 30 proteins included in this sequence, how-

NEW YORK THOROUGHBRED HORSEMEN SUPPORT EQUINE RESEARCH

men's Association has made a major contribution to Grayson-Jockey Club currently contribution to Grayson-Jockey Club is funding 24 projects for more than Research Foundation. The NYTHA \$800,000 and over the last two recently donated \$37,000 to the Foundation, which is a leader in sponsoring research dedicated to improving the health and safety of horses.

A portion of the contribution was various intertious diseases Imposite.

A portion of the contribution was designated as in memory of Oyden Phipps, a patriarchal sportsman of the Turt who died recently after a long and distinguished career as an owner-breeder and leader in racing.

"We at the NYTHA appreciate that Grayson-Jockey Club seeks out and funds the best research available on the most important problems fac-

on the most important problems fac-ing the horse," said the organiza-tion's executive director, Robert F. Flynn, "When mares started losing foods in large numbers last year, the help the horse, and will food the foundation stepped up immediately tons such as the NYT to fund several projects seeking ward so generously, it answers. At the same time, that did tent boost to all who a not diminish its commitment to to the equine industry.

For the second time in two years, seeking solutions to other problems the New York Thoroughbred Horse- all horses and horse owners face."

A portion of the contribution was various infectious diseases, laminitis, signated as in memory of Ogden ipps, a patriarchal sportsman of the projects underway seeks. Turf who died recently after a to develop a means of alerting horsegrand distinguished career as an imer-breeder and leader in racing.

"We at the NYTHA appreciate markers. Several projects also utilize to distinguished career as an interpretation of adult stem cells to aid cartilane." of adult stem cells to aid cartilage regeneration.

Our Foundation is dependent on the generosity of the horse community. We are in business solely to help the horse, and when organizations such as the NYTHA come for yeard so generously. It is an improve ward so generously, it is an important boost to all who are connected

ever, and that additional proteins are likely present which will turn out to be important in the horse's immunogenic response to strangles. Such bacterial proteins which elicit a significant immune response would be targeted for investigation into their possible suitability for inclusion in future vaccines. Dr. Timoney postulates, for example, that SePE-I may be one of the bacterial cell

> wall proteins which stimulates an immune response in the infected horse. Antibodies made against SePE-I may be what confers the resistance to future infections seen in horses naturally infected with the strangles organism. If so, then SePE-I could be an important addition to protein M (SeM) in future vaccines.

> By Kim A. Sprayberry, DVM, Diplomate ACVIM grant supporting Dr. Timoney's 2001-2002 work is entitled "Identification of immunogenic proteins unique to Streptococcus equi." The Grayson-Jockey Club Research Foundation is proud to be a long-time supporter of Dr. Timoney's research and to fund such investigations whose outcome is anticipated to impact equine health so positively.

# **Rokeby Circle Members**

In honor of the generosity to the Foundation by the late Paul Mellon, Grayson-Jockey Club designates as members of the Rokeby Circle those donors/members at the \$10,000-plus level in a given year. The honor is named for Rokeby Farm, Mr. Mellon's beloved estate in Virginia. Current members of the Rokeby Circle as of June 1:

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Pinnacle I.N. 10 DOSES Streptococcus Equi Vaccine Live Culture  $10\times2.5$  mL Vials of Vaccine plus  $10\times2.5$  mL Vials of Diluent

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10 DOSIS

Vacuna contra Streptococcus equi 10 frascos de 2,5 mL de vacuna más 10 frascos de 2,5 mL de diluyente

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# Biosecurity and Health Committee Protocol for the Management of Strangles in Racehorses

Author:

Biosecurity and Health Committee: Canadian Pari-Mutual Agency; The Horsemen's Benevolent and Protective Association of Ontario; Ontario Harness Horse Association; Ontario Horse Racing Industry Association; Ontario Ministry of Agriculture and Food; Ontario Racing Commission;

University of Guelph; Woodbine Entertainment Group.

Creation Date:

01 September 2003 01 September 2003

Last Reviewed:

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### Section 1: Disease Information

Strangles is a highly contagious and serious infection of horses and other equids caused by the bacterium *Streptococcus equi* (*S. equi*). The disease is characterized by severe inflammation of the mucosa of the head and throat, with extensive swelling and often rupture of the lymph nodes, which produces large amounts of thick, creamy pus.

### Section 2: Human Health Risk Data

Humans appear to be resistant to S. equi under normal circumstances.

### Section 3: Horse Health Risk Data

Horses of all ages are susceptible, though strangles is most common in animals less than five years of age and especially in groups of weanling foals or yearlings. Animals show typical signs of a generalized infectious process (depression, inappetence, fever of 39° - 39.5°C). Horses develop a nasal discharge (initially mucoid, rapidly thickening and purulent), a soft cough and slight but painful swelling between the mandibles, with swelling of the submandibular lymph node. With the progression of the disease, abscesses develop in the submandibular (between the jaw bones) and/or retropharyngeal (at the back of the throat) lymph nodes. The lymph nodes become hard and very painful, and may obstruct breathing ("strangles"). The lymph node abscesses will burst (or can be lanced) in 7 to 14 days, releasing thick pus heavily contaminated with *S. equi*. The horse will usually rapidly recover once abscesses have ruptured.

### Section 4: Ecology Information

S. equi is maintained in the horse population by carrier horses but does not survive for more than six to eight weeks in the environment. The infection is highly contagious. Transmission is either by direct or indirect contact of susceptible animals with a diseased horse. The incubation period of strangles is usually 3 to 14 days. Direct contact includes contact with a horse that is incubating strangles or has just recovered from the infection, or with an apparently clinically unaffected long-term carrier. Indirect contact occurs when an animal comes in contact with a contaminated stable (buckets, feed, walls, doors) or pasture environment (grass, fences, but almost always the water troughs), or through flies. Under optimal conditions, the bacteria can survive probably six to eight weeks in the environment.

### Section 5: Prevention

Both a killed and a live vaccine are available for the control of strangles. The only killed vaccine currently available in Canada is Strepguard™ by Intervet. Killed vaccines, in general, are administered with an initial series of intramuscular injections followed by an annual booster. There may be adverse reactions at the injection site (marked pain, even frank abscesses). Some animals have even developed purpura haemorrhagica following vaccination. The killed vaccines do not provide complete protection because they do not result in the local, nasopharyngeal antibodies thought to be important in protection, but they may reduce the severity of clinical illness should it occur.

More recently, a live, attenuated *S. equi* vaccine (Pinnacle™ I.N. by Fort Dodge) has been introduced as an intranasal vaccine for the prevention of strangles. The vaccine is administered twice, at an interval of one to two weeks. This approach to vaccination is intuitively more attractive than a killed, intramuscular vaccine since it produces the local antibodies necessary for protective immunity. Because the vaccine is a live but attenuated (using a low virulence organism) *S. equi*, care should be taken to avoid contamination of injections elsewhere in the horse. Concurrent injection of other vaccines has resulted in *S. equi* abscesses at these sites, presumably through inadvertent contamination.

Jorm (1991) has shown that *S. equi* survived for 63 days on wood at 2°C and for 48 days on glass or wood at 20°C. The organism is readily killed by heat (60°C) or disinfectants (particularly povidone iodine, chlorhexidine). Quarantine area staff should change their coveralls and boots before leaving the quarantine area, and should wash their arms and hands carefully with chlorhexidine soap or use an alcohol-based hand disinfectant solution.

Infected horses should be isolated and not allowed to come into contact with other horses until they are no longer shedding *S. equi*. Personnel working with infected horses should not work with other horses, or should work with infected horses last. Clothing should be changed after working with an infected horse, and hands should be thoroughly washed. Any items coming in contact with an infected horse or its stall (hay nets, water buckets, etc.) should be disinfected before being used for another horse. Infected horses can shed *S. equi* for weeks. Contaminated pasture areas should be rested for four weeks, since the organism will be killed by the natural antibacterial effects of drying and of ultraviolet light. Once a case of strangles has been identified, all horses that have been in contact with the affected horse should be considered potentially exposed. Their body temperature should be monitored closely to detect infection as early as possible. Ideally, horses should not leave the premises after an infected horse has been identified, unless they have been tested and determined not to be carrying *S. equi*.

New arrivals to a barn should be quarantined for at least 2 (and ideally 3) weeks. All quarantined horses should be considered a potential source of *S. equi*, even if they appear healthy. Depending on the situation, screening for *S. equi* might be recommended. This would consist of testing for the presence of *S. equi* in the nasopharynx (nose and throat region) and guttural pouches.

### Section 6: Regulatory Information

Strangles is not a reportable disease and, therefore, outbreaks of this disease are not required to be reported to any government agency.

### Committee's Recommendations

 All "pony" horses shall have completed their vaccination program (initial and booster shots) for strangles at least two weeks prior to arrival at the track.

- It is recommended that all racehorses be vaccinated with the intranasal vaccine for strangles (initial and booster shots) prior to arrival at the track.
- Track owners should install wash stations with hand disinfectant at strategic locations along each shed row or barn for personal hygiene when working between horses.
- 4. All personnel should wash their hands after working with each horse under their care.
- High pressure washers and supplies should be available at the track to disinfect stalls and equipment. However, dirt floor stalls with wood walls will require removal of infected dirt (upper 2") and scrubbing of the walls.
- Horses purchased at sales should be quarantined for 2 3 weeks prior to having contact with other horses.
- Horses from farms with cases of strangles on the property should not be admitted to a racetrack until they have undergone a 2-3-week quarantine.

### More Information

Strangles in Horses, Ontario Ministry of Agriculture and Food - <a href="http://www.gov.on.ca/OMAFRA/english/livestock/horses/facts/03-037.htm">http://www.gov.on.ca/OMAFRA/english/livestock/horses/facts/03-037.htm</a>

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# Ramblings...

An Open Horse Clinic featuring wild horses was sponsored by South Dakota's Wildhorse Club.calm on March 22, 2003. The event was held at the Black Hills Equestrian Center in Rapid City, SD. Clinicians covered healthcare, showmanship, English riding, cowhorse training, trick training, roundpen work, and western pleasure. Adopted wild horses were used for the training demonstrations, which goes to show

that these horses are versatile.

Below are some photos of the event, along with some points I took note of:

## Jason Mez, DVM - Healthcare

Dr. Mez had a plethora of information to impart regarding vaccination schedules, de-worming schedules, nutrition, dental care, and hoof care.

<u>Vaccination schedules</u> - Performance horses should be vaccinated for Eastern/Western Encephalomyelitis (sleeping sickness), Tetanus, Rhino, Flu, Rabies, and West Nile Virus every spring. Horses that are hauled and used extensively, such as show circuit horses or those involved in PRCA, should also be vaccinated in the fall for Rhino and Flu.

Broodmares need a modified live Rhino vaccine in the 5<sup>th</sup>, 7<sup>th</sup>, and 9<sup>th</sup> month of pregnancy. They should receive sleeping sickness, Tetanus, Flu, Rhino, and West Nile Virus 4-6 weeks prior to foaling. If you give rabies vaccine, this should be given prior to breeding. A Rhino booster should also be given at breeding time.

Foals from vaccinated mares should receive sleeping sickness, Tetanus, Flu, Rhino, and West Nile Virus at 6 months with 2 additional boosters given at 7 and 8 months. Rabies should be given at 6 months with a booster at one year.

Foals from non-vaccinated mares should receive sleeping sickness, Tetanus, Flu, Rhino, and West Nile Virus at 3 months with 2 additional boosters at 4 and 5 months. Rabies should be given at 3 months with a booster at one year.

At a minimum, all horses should be vaccinated for Eastern/Western Encephalomyelitis, Tetanus, and West Nile Virus in the spring every year.

Dr. Mez does not recommend the Strangles vaccination. He says there is very strong evidence that vaccinated horses exposed to Strangles have a high incidence of Purpurae Hemorhagica, which is a debilitating and often times fatal condition best characterized as an autoimmune condition. However, if you want to vaccinate for Strangles, the intranasal

product is superior to the intramuscular vaccine.

Rabies is optional but highly recommended as there are cases every year in this area.

Dr. Mez recommends the intranasal flu vaccine over the intramuscular vaccine. NOTE: If using both the intranasal flu and strangles vaccines, they must be given one week apart.

West Nile Virus requires 2 shots three weeks apart, initially. Then a yearly booster is needed in the spring. Research has shown that the vaccine is effective up to 13 months.

<u>De-Worming schedule</u> - Dr. Mez recommends de-worming every three months. Dewormers should be rotated throughout the year. Never use an Avermectin product the first time you worm a foal or a horse that has never been de-wormed before.

Month	Wormer
Jan-Feb-Mar	Benzimidazole
Apr-May-June	Benzimidazole
July-Aug-Sept	Pyrantel
Oct-Nov-Dec	Avermectin

- Avermectins: Equalan, Zimecterin, Equimectrim, Quest
  - Benzimidazoles: Panacur, Safe-guard, Anthelcide
- Pyrantel: Strongid-P, Strongid-T, Strongid-C, Rotectin-2

Broodmares should be de-wormed a minimum of 2 times per year, and Panacur should be used just prior to foaling. Avermectin should be used in the fall after a hard frost. Deworm foals at 30 days of age with a double dose of Panacur, then again at weaning time.

<u>Nutrition</u> - Horses should have free access to clean, fresh water. Keep the water free of ice during the winter. A horse will drink 10-15 gallons of water per day during the winter and as much as 30 gallons a day during the summer. The best feed for horses is pasture. Alfalfa is NOT bad for a horse, unless the horse has an existing kidney problem. Always have salt with trace minerals available. Salt blocks are acceptable.

<u>Dental care</u> - Horses of all ages may require dental care. Young horses (2-5 years) typically have the most dental problems because they are losing teeth and growing new teeth. Feeding horses on the ground or in a low trough results in less dental problems than feeding in a raised trough (this has something to do with the angles of feeding and chewing). Sweet feeds (grain with molasses) are not bad for a horse's teeth. If you think

your horse has sharp points on his teeth, feel the teeth on the outside of the mouth, not the inside (good way to get bit!). If the horse tosses his head and generally acts like he doesn't like you rubbing along his molars, he probably has problems. Dr. Mez recommends that horses have their teeth checked annually if stalled; pastured horses tend to not have dental problems.

<u>Hoof care</u> - Studies in Europe are showing that NOT shoeing is better for a horse.

<u>Final comments by Dr. Mez</u> - horse owners need to think in advance how they want to handle problems such as colic and serious joint injuries. Treatment of these problems can become very expensive, and results may not be optimal. It's best to have a rational plan, rather than an emotional reaction.



Shea Schut - Showmanship

Teach your horse to follow your lead.

Teach your horse to set-up.

• Your horse should learn that when you stop, it should also set-up.

## Judie Joba - Hunter/English

A good English prospect is a horse built for endurance, i.e. one with long muscle groups. Since wild horses are typically built for endurance, they often make good English riding prospects.





### Ross Graesser - Cowhorse

Unfortunately, I was distracted while Ross gave his presentation. One point of interest he did make while we visited on the side was that to teach a horse to ground tie, a person should dig a small hole, drop the end of the lead rope into it, and pack the dirt over the lead rope. In this way, your horse is literally tied to the ground and will eventually think that every time you drop the lead rope that he is tied to the ground.

## Tracy Kleinjan - Trick Training

To teach a horse tricks, you need to give a cue and stimulate the desired response, and then reward the horse. Tracy rewards with a handful of grain. Her horse is being taught to nod yes, shake no, and to bow. She warns that tricks, such as yes and no, can also be bad habits, so a person must think about the consequences prior to teaching tricks.

## **Don Husted - General Training Tips**

Don runs a string of dude horses, and many of those horses are adopted wild ones. When he adopts a horse, he looks at the horse's disposition and place within the herd. He does not like to adopt dominate herd members because dominate animals can be a challenge to gentle. His primary rule for training a wild horse: Don't make an issue out of stuff. Keep a calm, relaxed attitude.





Dave Fisk - Roundpen work

Don't turn training into a contest, but maintain your position of authority.

### Jeff Schut - Western Pleasure

Jeff talked about the conformation that makes a good western pleasure prospect. A dip in the neck in front of the withers means that it will be easy for a horse to maintain a low head set. A short back is desirable. A good prospect will have a long, slow stride.



The clinicians imparted more information than I've captured here. These are merely the points I took note of. Wildhorse Club.calm plans on sponsoring more of these events in

